

Senior Managers and Certification Regime

Train your staff on the conduct rules under SM&CR and how they apply to them



Course Overview

With the Senior Managers and Certification regime (SM&CR), the Financial Conduct Authority (FCA) is aiming to promote a proactive culture of responsibility and to improve conduct in financial markets.

This immersive eLearning course is ideal for all employees of regulated organisations and will help them understand the conduct rules under each regime and how they apply to various individuals within the firms.

This course guides the learners through the requirements of the SM&CR conduct rules and invites them to apply a range of key questions to their own role and the firm, to help ensure that they and their firm remain compliant.

Key Learning Points

- Rules that apply to staff at different levels under SM&CR
- Conduct rules applicable to Senior Managers
- Key questions about Conduct Rules and Fitness and Propriety requirements
- Key questions about Certification Regime and Senior Managers Regime
- Applying your understanding of SM&CR to your organisation and role

Learn More



Technical Requirements



Guide Pricing

1+ Licenses	£29 per learner
11+ Licenses	£25 per learner
21+ Licenses	£20 per learner
51+ Licenses	£15 per learner
101+ Licenses	£10 per learner

*guide prices are per learner, per year

For 150+ learners, please contact us for a quote by telephone or email:

enquiries@delta-net.co.uk

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Great Value Package

You can buy this course on its own or for greater value why not buy the full package of Compliance Training courses?

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